SAIGON – HANOI SECURITIES JOINT STOCK COMPANY	SOCIALIST REPUBLIC OF VIETNAM Independence - Freedom - Happiness
No.: 06-2025/TTr-ĐHĐCĐ	*Hanoi, March 20 , 2025*

PROPOSAL

(Re: Approval of the Policy for Signing and Executing Contracts, Agreements, and Transactions with Related Parties)

To: **THE GENERAL MEETING OF SHAREHOLDERS**

Pursuant to:

- *The Law on Enterprises No. 59/2020/QH14 dated June 17, 2020, along with its amendments, supplements, and implementing guidelines;*
- *The Law on Securities No. 54/2019/QH14 dated November 26, 2019, along with its amendments, supplements, and implementing guidelines;*
- *The current Charter of Saigon - Hanoi Securities Joint Stock Company ("the Company"/"SHS"),*

To ensure compliance with legal regulations and adhere to the guidance of State Management Agencies regarding information transparency and the enhancement of the Company's governance, The Board of Directors ("BOD") hereby reports and submits to the General Meeting of Shareholders ("GMS") for consideration and approval the following matters:

1. Approval of contracts and transactions with a value equal to or exceeding 35% (or such other ratio as stipulated by applicable laws at the relevant time) or those that result in the aggregate value of contracts and transactions arising within 12 months from the date of the first contract or transaction reaching 35% or more (or such other ratio as stipulated by applicable laws at the relevant time) of the Company's Charter Capital, as recorded in the most recent audited financial statements, as well as other contracts and transactions falling under the approval authority of the GMS involving related parties, as detailed below:

a. Entities entering into and executing contracts and transactions with the Company include:

(i) Individuals and organizations classified as "Related Parties" or "Related Persons" under the Law on Accounting, Vietnamese Accounting Standards, Law on Securities, Law on Enterprises and guidance documents for implementation of laws in effect at the time of signing and executing the contracts, agreements, or transactions.

(ii) Individuals and organizations classified as "Related Parties" or "Related Persons" (under the Law on Accounting, Vietnamese Accounting Standards, Law on Securities, Law on Enterprises and guidance documents for implementation of laws in effect at the time of signing and executing the contracts, agreements, or

transactions) of the entities listed in item (i) above.

b. **The main contents of the contracts and transactions include**: service provision, securities trading, borrowing, lending, guarantee, business cooperation, purchase, sale, use, supply, rental, leasing and other transactions for the Company's business activities.

c. **The specific value of each contract and transaction**: to be determined at each specific time and activity, while ensuring the Company's interests and compliance with applicable laws and the Company's internal regulations.

d. **Signing and Executing**: The contracts and transactions shall be signed and executed prior to and during the period from the date this Proposal is approved by the General Meeting of Shareholders (GMS) until the next annual meeting of the GMS.

e. **Principles for executing contracts and transactions**: The terms and conditions of the contracts must not contravene the Company's current regulations and applicable laws, shall be implemented in accordance with the Company's standard templates at the relevant time or as approved by the competent authority, while ensuring the Company's interests.

2. Delegation and authorization to the BOD to decide on specific contracts and transactions and to implement them in accordance with the Company's actual circumstances, the Company Charter, and relevant internal regulations. The BOD shall be responsible for reporting the implementation of this delegated authority at the Company's next annual GMS.

3. Depending on the significance and suitability to actual circumstances, the BOD is permitted to delegate/authorize the General Director or other senior management within the Company to partially or fully undertake the related tasks and disclose information, on the principle of complying with the Resolutions/Decisions of the GMS/BOD, the Charter, the Company's internal regulations, and applicable laws, ensuring the highest benefits for the Company and its Shareholders.

The Board of Directors hereby submits to the General Meeting of Shareholders for approval.

Best regards,

FOR AND ON BEHALF OF
THE BOARD OF DIRECTORS
CHAIRMAN

DO QUANG VINH

Recipients:
- As above;
- For disclosure: Stock Exchanges, State Securities Commission;
- For filing: Archives, BOD's Office.