SAIGON – HANOI SECURITIES JOINT STOCK COMPANY	SOCIALIST REPUBLIC OF VIETNAM Independence - Freedom - Happiness
No: 08-2025/TTr-ĐHĐCĐ	*Hanoi, March 20, 2025*

PROPOSAL

(Re: Amendments to the Charter and Internal Regulations under the Authority of the General Meeting of Shareholders)

To: THE GENERAL MEETING OF SHAREHOLDERS

Pursuant to:

- *The Law on Enterprises No. 59/2020/QH14 dated June 17, 2020, along with its amendments, supplements, and implementing guidelines;*
- *The Law on Securities No. 54/2019/QH14 dated November 26, 2019, along with its amendments, supplements, and implementing guidelines;*
- *The Circular 116/2020/TT-BTC guiding corporate governance applicable to public companies in Decree 155/2020/NĐ-CP guiding the Law on Securities,*

In 2024, pursuant to Resolution No. 01-2024/NQ-ĐHĐCĐ dated May 15, 2024, of the General Meeting of Shareholders (GMS) of Saigon - Hanoi Securities Joint Stock Company *("Company"/"SHS")* at the 2024 Annual Meeting and Decision No. 31-2024/QĐ-HDQT dated June 6, 2024, of the Board of Directors (BOD), the Company has conducted one (01) amendment to the following documents under the approval authority of the GMS:

1. The Company Charter.
2. The Regulations on the Organization and Operation of the Company's Board of Directors.
3. The Internal Regulations on Corporate Governance.

The above documents have been effective since June 6, 2024, and are the sole versions, which have been fully disclosed in accordance with legal requirements.

In fulfilling the responsibilities entrusted by the GMS, the Board of Directors, its sub-committees, the BOD Office, and the Legal & Risk Management Department have continued to review, draft, develop, and finalize the Draft Charter and the Company's internal regulations under the GMS's approval authority.

In comparison with the newly issued provisions of applicable laws and their implementing guidelines, it is necessary to amend and supplement certain clauses in SHS Charter under the GMS's approval authority and the regulations, with the following objectives:

- To ensure compliance with legal provisions and the actual operations of the enterprise;

- To protect the rights and interests of Shareholders, the Company, Investors, and the Company's Partners;
- To enhance the Company's accountability, strengthening transparency, legal compliance, financial safety, and risk management across all governance, management, and operational activities;
- To establish a comprehensive Charter and internal regulation system for SHS that adheres to legal standards, ensures consistent content, aligns with operational realities, creates a legal framework for stable, sustainable, and transparent development, and meets the integration and development requirements of SHS within the financial system and stock market.

On that basis, the Board of Directors hereby submits the following recommendations to the General Meeting of Shareholders for consideration and approval:

1. **Approval of the following documents to replace the corresponding existing versions:**

a. The Draft Charter of Saigon - Hanoi Securities Joint Stock Company and the Table outlining key contents amended, supplemented, and proposed for amendment and supplementation in the Charter (attached Appendix);

b. The Draft Regulations on the Organization and Operation of the Company's Board of Directors (attached Appendix);

c. The Draft Internal Regulations on Corporate Governance (attached Appendix);

d. Draft Regulation on the Organization of the Online General Meeting of Shareholders and Electronic Voting (attached Appendix).

The Appendixes of the draft documents mentioned above has been and are being published on the Company's website (https://dhcd.shs.com.vn) and included in the GMS Documentation.

2. **Ratification of the delegation and authorization to the Board of Directors to undertake the following tasks:**

- To draft, update, revise, and amend the content of related provisions, adjust the order of Articles/Clauses/Items, clarify necessary contents, etc., in the Charter, Internal Regulations on Corporate Governance, Regulations on the Organization and Operation of the BOD, Regulations on Organizing the Online General Meeting of Shareholders and Electronic voting, and other relevant existing internal documents of the Company if deemed necessary, to support the Company's operations in line with the policies approved by the GMS and/or in cases where adjustments are mandatorily required due to changes, amendments, or replacements in legal regulations and/or guidelines and provisions of State Authorities.
- To issue entirely the Charter, Internal Regulations on Corporate Governance, Internal Governance Regulations, Regulations on the Organization and Operation of the BOD, Regulations on Organizing the Online General Meeting of Shareholders and Electronic voting, and other related internal documents (if any) after revising and

amending their contents. The entire documents will be disclosed, reported to all shareholders, investors, and state management agencies in accordance with legal regulations.

- The drafting, updating, revising, amending, and issuance of the aforementioned documents must be conducted in compliance with legal regulations and the Company's internal provisions.

The Board of Directors hereby submits the Proposal to the General Meeting of Shareholders for approval.

Best regards,

FOR AND ON BEHALF OF
THE BOARD OF DIRECTORS
CHAIRMAN

CÔNG TY CỔ PHẦN CHỨNG KHOÁN SÀI GÒN HÀ NỘI

DO QUANG VINH

To:
- As above;
- Stock Exchange, SSC for disclosure;
- General Office, BOD Office for archiving.