SAIGON – HANOI SECURITIES JOINT STOCK COMPANY	**SOCIALIST REPUBLIC OF VIETNAM** **Independence – Freedom – Happiness**
No: 06./2026/TTr-ĐHĐCĐ	*Hanoi, March 26., 2026*

PROPOSAL

(Re: Approval of entering into and performance of contracts, agreements and transactions with related parties)

To: **THE GENERAL MEETING OF SHAREHOLDERS**

Pursuant to:

- *The Law on Enterprises no. 59/2020/QH14 dated June 17, 2020 and its amendments, supplements and implementing regulations;*
- *The Law on Securities no. 54/2019/QH14 dated November 26, 2019 and its amendments, supplements and implementing regulations;*
- *The applicable Charter of Saigon – Hanoi Securities Joint Stock Company.*

For the purpose of complying with legal regulations and guidance of competent authorities in ensuring transparency and enhancing corporate governance, the Board of Directors (BOD) of Saigon – Hanoi Securities Joint Stock Company (Company/SHS) respectfully reports and submits to the General Meeting of Shareholders (GMS) for consideration and approval the following:

1. Approval is sought for contracts and transactions with a value of 35% or more (or other thresholds as prescribed by law from time to time), or Contracts, transactions that result in the aggregate value of transactions arising within 12 months from the date of the first Contract, transaction reaching 35% or more (or other thresholds as prescribed by law from time to time) of the **total assets** of the Company as stated in the most recent audited financial statements, and other transactions falling under the approval authority of the GMS, with related parties, as follows:

a. Parties signing and executing contracts and transactions with the Company include:

(i) Individuals and organizations that are "related parties" or "related persons" as defined under the Law on Accounting, Vietnamese Accounting Standards, Vietnam Accounting System, the Law on Securities, the Law on Enterprises and relevant implementing regulations in effect at the time of entering into and performing such contracts or transactions;

(ii) Individuals and organizations that are "related parties" or "related persons" (as defined under the Law on Accounting, Vietnamese Accounting Standards, Vietnam Accounting System, the Law on Securities, the Law on Enterprises and relevant implementing regulations in effect at the time of entering into and performing such contracts or transactions) of the entities mentioned above;

(iii) Other individuals and organizations as prescribed under Article 167 of the Law on Enterprises and Clause 84, Article 1 of the Decree no. 245/2025/NĐ-CP.

b. The principal contents of the contracts and transactions include: provision of services; receipt of services; offering/issuance/purchase and sale of securities/valuable papers; borrowing, lending, repayment of loans, provision/receipt of collateral; cooperation agreements; purchase, use, provision, lease and sublease; investment in securities/valuable papers, exercise/enjoyment of rights arising from securities/valuable papers issued by the entities specified in Section 1.a, and other transactions serving the Company's business operations.

c. **Specific value of each contract/transaction:** to be determined from time to time and for each specific activity, on the basis of ensuring the Company's interests and compliance with applicable laws and relevant internal regulations of the Company.

d. **Timing for execution:** the contracts/transactions may be signed and implemented prior to and during the period from the date this Proposal is approved by the GMS until the next Annual General Meeting of Shareholders.

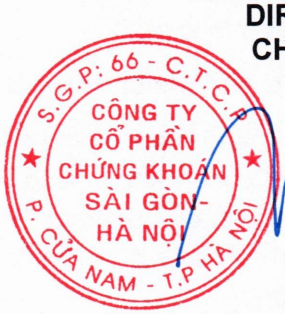
e. **Principles for execution of contracts/transactions**: the selection of partners, and the terms and conditions of the contracts must not contravene the Company's current regulations or applicable laws; must ensure arm's length and market-based principles; and must be implemented in accordance with the Company's templates from time to time or as approved by competent authorities, ensuring the Company's interests.

2. To authorize the BOD to decide on each specific contract/transaction and to organize implementation in accordance with the Company's actual conditions, Charter, and relevant internal regulations. The BOD shall be responsible for reporting on the implementation of this authorization at the next Annual GMS.

3. Depending on the level of importance and practical circumstances, the BOD may delegate to the Chief Executive Officer and other executives of the Company to carry out part or all of the related tasks and information disclosure, on the principle of complying with the Resolutions/Decisions of the GMS/BOD, the Company's Charter, internal regulations, and applicable laws, ensuring maximum benefit for the Company and its Shareholders.

Respectfully submitted to the General Meeting of Shareholders for consideration and approval.

ON BEHALF OF THE BOARD OF DIRECTORS
CHAIRMAN



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Recipients:
- As stated above;
- Information disclosure: SSC, Stock Exchange, website;
- Archived: BOD's Office.