SAIGON – HANOI SECURITIES JOINT STOCK COMPANY	**SOCIALIST REPUBLIC OF VIETNAM Independence – Freedom – Happiness**
No.: 10-2025/TTr-DHDCD	*Hanoi, March 20, 2025*

PROPOSAL

(Re: Provision of Securities Transaction Clearing and Settlement Services through a Central Counterparty Clearing Partner and Offering of Financial Products)

To: GENERAL MEETING OF SHAREHOLDERS

Pursuant to:

- *The Law on Enterprises No. 59/2020/QH14 dated June 17, 2020, along with its amendments, supplements, and implementing guidelines;*
- *The Law on Securities No. 54/2019/QH14 dated November 26, 2019, along with its amendments, supplements, and implementing guidelines;*
- *Decree No. 155/2020/ND-CP dated December 31, 2020, of the Government detailing the implementation of certain provisions of the Law on Securities;*
- *The current Charter of Saigon – Hanoi Securities Joint Stock Company ("the Company"/"SHS");*

The plan for implementing the provision of securities transaction clearing and settlement services through a Central Counterparty Clearing Partner and the offering of financial products was approved by the General Meeting of Shareholders at the 2024 Annual General Meeting.

The activity of providing securities transaction clearing and settlement services through a Central Counterparty Clearing Partner has not yet been implemented, as the State Management Agency ("SMA") has not yet organized its execution. Once the competent authority initiates the implementation of this activity, the Company will register and organize the execution of this business line in accordance with legal regulations.

The activity of offering financial products has not been implemented due to unfavorable market conditions in 2024.

However, to provide a legal basis for implementing these activities in 2025 when market conditions stabilize, opportunities become clearer, and the SMA provides guidance and organizes their execution in the securities market, the Board of Directors respectfully submits to the General Meeting of Shareholders for approval the following plan:

1. Approval for SHS to Expand the Following Business Activities:

(i) Provision of securities transaction clearing and settlement services through a Central Counterparty Clearing Partner;

(ii) Offering of financial products that comply with applicable laws, guidelines from the State Management Agency, and the Company's regulations.

2. Authorize the Board of Directors to determine and approve the specific plan for

implementing the activities mentioned in Section 1 above.

3. Chief Executive Officer is assigned to organize the registration and implementation of the activities outlined in Item 1 above, based on the specific plans decided and approved by the Board of Directors, in coordination with the competent State authorities. This includes, but is not limited to, the preparation, completion, and submission of documents and dossiers, as well as the execution of other related tasks, such as:

(i) Application dossier for the Certificate of Eligibility to Provide Securities Transaction Clearing and Settlement Services through a Central Counterparty Clearing Partner;

(ii) Application dossier for the Certificate of Eligibility to Offer Financial Products;

(iii) Other documents as required by the State Securities Commission, the Stock Exchange, and other relevant regulatory authorities.

4. Authorize the Board of Directors to issue additional regulations related to the provision of securities clearing and settlement services through the Central Counterparty and the offering of financial products in the Company's Charter and internal documents (if necessary) to ensure compliance with the law and alignment with the requirements of regulatory authorities

5. The Board of Directors is authorized to delegate to the General Director the authority to decide on the matters outlined in Section 2 and Section 4 above, within the scope of permitted authority.

6. The Board of Directors is responsible for reporting the progress of the assigned and authorized tasks to the General Meeting of Shareholders at the nearest Annual General Meeting of the Company.

Respectfully submitted to the General Meeting of Shareholders for approval.

Sincerely thank you!

FOR AND ON BEHALF OF THE BOARD OF DIRECTORS
CHAIRMAN

DO QUANG VINH

Recipients:
- As above;
- For disclosure: Stock Exchange, State Securities Commission;
- For filing: Archives, BOD Office.