| SAIGON - HANOI SECURITIES JOINT STOCK COMPANY | SOCIALIST REPUBLIC OF VIETNAM Independence – Freedom – Happiness |
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| No.: 08 /2026/TTr-ĐHĐCĐ | *Hanoi, March 26 2026* |

# PROPOSAL

***(Re: Amendments to the Charter and Internal Regulations under the Authority of the General Meeting of Shareholders)***

## ***To:*** THE GENERAL MEETING OF SHAREHOLDERS

On April 10, 2025, the Annual General Meeting 2025 of Shareholders (GMS) of Saigon - Hanoi Securities Joint Stock Company (the Company/SHS) approved amendments to the Charter and the Internal Regulations under the GMS's approval authority, and issued Resolution No. 01-2025/NQ-ĐHĐCĐ. Pursuant to this resolution, the following documents were amended, supplemented, and have been in effect since April 10, 2025:

1. The Company Charter.
2. The Internal Regulations on Corporate Governance.
3. The Regulations on the Organization and Operation of the Company's Board of Directors.
4. The Regulations on the Organization of the Online General Meeting of Shareholders and Electronic Voting.

The current SHS Charter, which has been in effect since November 5, 2025, has undergone four (04) amendments and supplements in 2025, as follows:

| No. | Amendment | Approving Document | Summary of Amendments |
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| 1. | 1st | GMS Resolution No. 01-2025/NQ-ĐHĐCĐ dated April 10, 2025 | Amendment of certain Articles and Clauses to align with legal regulations and the Company's operations; issuance of a new Charter to replace the previous one. |
| 2. | 2nd | BOD's Resolution No. 03-2025/NQ-HĐQT dated May 29, 2025 | Update of new charter capital to VND 8,944,622,200,000; issuance of new Charter to replace. |
| 3. | 3rd | BOD's Resolution No. 06A 2025/NQ-HĐQT dated October 23, 2025 | Update of the Company's registered office address (No.43 Ly Thuong Kiet Street, Cua Nam Ward, Hanoi); issuance of new Charter to replace. |

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| 4. | 4th | BOD's Resolution No. 07-2025/NQ-HĐQT dated November 5, 2025 | Update of new charter capital to VND 8,994,622,200,000; issuance of new Charter to replace. |

In fulfilling the responsibilities entrusted by the GMS, the Board of Directors, its sub-committees, the BOD's Office, and the Legal, Compliance & Risk Management Department have continued to review, draft, develop, and finalize the Draft Charter and the Company's internal regulations under the GMS's approval authority.

In comparison with the newly issued provisions of applicable laws and their implementing guidelines, it is necessary to amend and supplement certain clauses in SHS' Charter and the regulations under the GMS's approval authority, with the following objectives:

- To ensure compliance with legal provisions and the actual operations of the enterprise;
- To protect the rights and interests of Shareholders, the Company, Investors, and the Company's Partners;
- To enhance the Company's accountability, strengthen transparency, legal compliance, financial safety, and risk management across all governance, management, and operational activities;
- To establish a comprehensive Charter and internal regulation system for SHS that adheres to legal standards, ensures consistent content, aligns with operational realities, creates a legal framework for stable, sustainable, and transparent development, and meets the integration and development requirements of SHS within the financial system and stock market.

On that basis, the Board of Directors hereby submits the following recommendations to the GMS for consideration and approval:

1. Approval of the following documents in their entirety to replace the corresponding existing versions:

a. The Draft Charter of Saigon - Hanoi Securities Joint Stock Company and the Table presenting key contents amended and supplemented in the Charter (*attached hereto)*;

b. The Draft Regulations on the Organization and Operation of the Company's Board of Directors (*attached hereto*);

c. The Draft Internal Regulations on Corporate Governance (*attached hereto)*.

*The draft documents mentioned above have been and are being published on the Company's website (https://dhcd.shs.com.vn/) and included in the GMS Documentation.*

2. Ratification of the delegation and authorization to the Board of Directors to undertake the following tasks:

- To draft, update, revise, and amend the content of related provisions, adjust the order of Articles/Clauses/Items, clarify necessary contents, etc., in the Charter, Regulations on the Organization and Operation of the BOD, Internal Regulations on Corporate Governance, and other relevant existing internal documents of the Company if deemed necessary, to support the Company's operations in line with the policies approved by the GMS and/or in cases where adjustments are mandatorily required due to changes, amendments, or replacements in legal regulations and/or guidelines and provisions of State Authorities.
- To issue entirely the Charter, Regulations on the Organization and Operation of the BOD, Internal Regulations on Corporate Governance, and other related internal documents (if any) after revising and amending their contents. The complete documents will be disclosed and reported to all Shareholders, Investors, and State Management Agencies in accordance with applicable legal regulations.
- The drafting, updating, revising, amending, and issuance of the aforementioned documents must be conducted in compliance with legal regulations and the Company's internal provisions.

**Respectfully submits to the General Meeting of Shareholders for consideration and approval.**

*Recipients:*

*- As stated above;*

*- Information disclosure: SSC, Stock Exchange;;*

*- Archived: BOD's Office.*

**ON BEHALF OF**

**THE BOARD OF DIRECTORS**

**CHAIRMAN**

S.G.P: 66 - C.T.C.P
CÔNG TY CỔ PHẦN CHỨNG KHOÁN SÀI GÒN-HÀ NỘI
P. CỬA NAM - T.P HÀ NỘI

**DO QUANG VINH**