SAIGON – HANOI SECURITIES JOINT STOCK COMPANY	SOCIALIST REPUBLIC OF VIETNAM Independence - Freedom - Happiness
No: 05-2025/TTr-ĐHĐCĐ	*Hanoi, March 20, 2025*

PROPOSAL

(Re: Selection of an independent auditing firm for the FY2025 of Saigon – Hanoi Securities Joint Stock Company)

To: THE GENERAL MEETING OF SHAREHOLDERS

Pursuant to:

- *The Law on Enterprises No. 59/2020/QH14 dated June 17, 2020, along with its amendments, supplements, and implementing guidelines;*
- *The Law on Securities No. 54/2019/QH14 dated November 26, 2019, along with its amendments, supplements, and implementing guidelines;*
- *The current Charter of Saigon - Hanoi Securities Joint Stock Company ("the Company"/"SHS");*

According to the functions and duties of the Board of Directors ("BOD") as stipulated by law and the Company's Charter,

Based on the recommendation of the Audit Committee, the Board of Directors submits to the General Meeting of Shareholders ("GMS") the **Plan for selecting an independent auditing firm** to audit and review the Financial Statements and the Financial Safety Ratio Report for the FY 2025, as well as other reports required by law or State Management Agency ("SMA") to be audited or reviewed to support the Company's operations in accordance with legal regulations and the SHS Charter. The detailed are as follows:

I. Criteria for Selecting an Independent Auditing Firm:

- Must be an auditing company approved by the State Securities Commission to audit public interest entities in the securities sector in 2025;
- Must have a team of auditors with high qualifications and extensive experience;
- Must have a reputable track record in audit quality;
- Must meet SHS' requirements regarding the scope and timeline of the audit;
- Must offer reasonable audit fees that align with the quality of the audit.

II. Proposed List for Selection:

Based on the criteria outlined in Section I, the BOD proposes the following list of independent auditing firms:

1. AASC Auditing Firm Company Limited
2. A&C Auditing and Consulting Company Limited
3. Vietnam Auditing and Valuation Company Limited (AVA)

III. Proposals for Approval by the GMS:

1. Approve the list of independent auditing firms mentioned above;

2. Authorize the BOD, on behalf of the Company, to select one of the three firms listed above to conduct audits and reviews the Financial Statements and the Financial Safety Ratio Report for the FY 2025, as well as other reports required by law or SMA to be audited or reviewed to support SHS' operations.

3. Contingency plan in case the above auditing firms are unable to perform the audit work as required by law, the SMA, or if SHS fails to reach an agreement on signing the audit contract:

   To ensure that independent auditing activities at SHS are conducted without interruption, the GMS is requested to approve the following:

3.1. Authorize the BOD to select an alternative independent auditing firm based on the recommendation of the Audit Committee to conduct audits and reviews the Financial Statements and the Financial Safety Ratio Report for the FY 2025, as well as other reports required by law or SMA to be audited or reviewed to support SHS' operations in accordance with legal regulations.

3.2. The auditing firm selected by the BOD must meet the criteria outlined in Section I of this Proposal.

3.3. The BOD shall be responsible for reporting to the GMS at the nearest meeting regarding the implementation of the above authorization (*if applicable*).

The Board of Directors hereby submits to the GMS for approval.

Best regards,

FOR AND ON BEHALF OF
THE BOARD OF DIRECTORS
CHAIRMAN

CÔNG TY CỔ PHẦN CHỨNG KHOÁN SÀI GÒN HÀ NỘI

DO QUANG VINH

Recipients:
- As above;
- For disclosure: Stock Exchanges, State Securities Commission;
- For filing: Archives, BOD's Office..