

PROPOSAL

(Regarding changing the organizational management and activity model of SHS)

To: GENERAL MEETING OF SHAREHOLDERS

Based on:

- *Law on Enterprises No. 59/2020/QH14 dated June 17, 2020; Law No. 03/2022/QH15 dated January 11, 2022; Law on Securities No. 54/2019/QH14 dated November 26th, 2019 and related amendments, supplements, and guidance documents on the implementation of the Law;*
- *Decree No. 155/2020/NĐ-CP dated December 31st, 2020 of the Government on detailed regulations for implementing certain provisions of the Securities Law;*
- *The current Charter of Saigon - Hanoi Securities Joint Stock Company;*

Based on the current legal provisions and actual requirements regarding corporate governance of Saigon - Hanoi Securities JSC (SHS), considering the objective conditions and operational realities of SHS, the interests of the Company's shareholders, the Board of Directors hereby submits to the General Meeting of Shareholders to approve the proposed changes to the organizational structure, management, and operations of the Company as follows:

1. **The General Meeting of Shareholders approves the amendment to the organizational structure and operations of the Company according to the model as specified in point b, Article 137 of the Enterprise Law, amending clauses 1 and 2 of the Company's Charter regarding the structure of management, administration, and control, specifically as follows:**
 - **Model 1** (*currently applied at SHS*) (*model as in point a of Article 137 of the Enterprise Law*): General Meeting of Shareholders, Board of Directors, Supervisory Board, Chief Executive Officer.
 - **Model 2** (*proposed*) (*model as in point b of Article 137 of the Enterprise Law*): General Meeting of Shareholders, Board of Directors, Audit Committee under the Board of Directors, Chief Executive Officer.
2. **The General Meeting of Shareholders approves the dismissal of members of the Company's Supervisory Board** (*due to the change in the management structure according to Model 2 approved by the General Meeting of Shareholders at Point 1*).
3. **The General Meeting of Shareholders approves the delegation of authority to the Board of Directors to carry out the following tasks:**
 - Based on the amendments, adjustments, and supplements approved by the General Meeting of Shareholders, to draft, update, amend, and clarify the content of relevant

regulations, adjust the order of Articles/Sections/Points, etc., in the Charter, regulations within the authority of the General Meeting of Shareholders, and other current internal documents of the Company.

- Issue the Charter, regulations within the authority of the General Meeting of Shareholders, and other relevant internal documents to record the amendments and supplements according to the resolutions passed by the General Meeting of Shareholders.
- Report to the General Meeting of Shareholders at the next annual meeting on the above implementation and delegation.
- The full text of the Charter, regulations within the authority of the General Meeting of Shareholders, and other relevant internal documents will be disclosed and reported to all Shareholders, Investors, and relevant Authorities as required by law.

We respectfully seek for the General Meeting of Shareholders consideration and approval.
Sincerely!

Recipients:

- As above;
- Information disclosure; Stock Exchanges; State Securities Commission
- Archived by: Document department; the Board of Directors' Office.

On behalf of SHS Board of Directors

CHAIRMAN

(signed)

VINH, DO QUANG (Mr.)