

PROPOSAL

**(On amending, modifying, supplementing and applying the Charter
and Regulations under the GSM authority to approve)**

To: GENERAL MEETING OF SHAREHOLDERS

In 2023, the Company amended its Charter once according to Resolution No. 01-2023/NQ-AGM dated April 23rd, 2023 of the 2023 Annual General Meeting.

The current Charter of the Company has been effective since April 23rd, 2023, and is the sole version, fully disclosed as required by law.

In fulfilling the responsibilities entrusted by the AGM, the Board of Directors, Sub-Committees under the BOD, and the BOD's Office have conducted reviews, drafting, development, and completion of the draft Charter of SHS and internal regulations of the Company within the authority of the AGM for approval.

Compared to the newly issued provisions of the Law, related guidance documents, the Charter of the Company, and Regulations approved by the General Meeting of Shareholders, it is necessary to amend and supplement some provisions with the following objectives:

- Compliance with the Law and the actual business operation of the enterprise;
- Protecting the rights and interests of shareholders, investors, partners, and the enterprise simultaneously;
- Enhancing the responsibility of the company, strengthening transparency, compliance with the law, financial safety, and risk management in all governance, operations, and business activities;
- Building and improving the Charter and internal regulations system of SHS to comply with the law, have uniform content, suitable for business operations, creating a legal environment for stable, sustainable, transparent development of the Company, meeting the requirements of integration and development of SHS in the financial system and the securities market.

We respectfully submit to the Annual General Meeting of Shareholders to consider approving the following recommendations of the Board of Directors:

1. Approve the amended and supplemented contents, through the full text of the Draft Charter of Saigon - Hanoi Securities Joint Stock Company to replace the existing documents:

The Appendix Table detailing the amended content of the Draft Charter is posted on the Company's website (<https://dhcd.shs.com.vn/>) and in the AGM Documents.

2. Approval of the assignment of responsibilities to the Board of Directors and the subcommittees under the Board of Directors to perform the following tasks:
 - Adjust and rearrange the provisions, clarify necessary contents, etc., to complete and issue the articles of association and relevant internal regulations within the authority of the General Meeting of Shareholders based on the approved amendments, supplements, and draft documents;
 - Amend, supplement, and adjust the contents of the above-mentioned documents as necessary on behalf of the General Meeting of Shareholders to comply with changes in laws and the actual operations of the company at different times;
 - Report to the General Meeting of Shareholders at the latest annual meeting on the amendments to the articles of association and internal regulations under the authority of the General Meeting of Shareholders (*if any*).

The full text of the Charter, Internal Governance Regulations, Regulations on the Organization and Operation of the Board of Directors, Regulations on the Organization and Operation of the Supervisory Board, and other related internal documents shall be disclosed, reported to all Shareholders, Investors, and Regulatory Authorities in accordance with the law.

Respectfully submitted to the General Meeting of Shareholders for approval.

Sincerely!

**O.B.H. THE BOARD OF DIRECTORS
CHAIRMAN**

(signed)

Recipients:

- As above;
- Information disclosure, Stock Exchanges, State Securities Commission;
- Archived by: Document department, BOD's Office.

VINH, DO QUANG (Mr.)