

PROPOSAL

**(On providing offsetting services, stock trading payment,
and financial product offerings)**

To: GENERAL MEETING OF SHAREHOLDERS

Based on:

- *Law on Enterprises No. 59/2020/QH14 on 06/17/2020; Law No. 03/2022/QH15 on 01/11/2022;*
- *Law on Securities No. 54/2019/QH14 on 11/26/2019; and documents amending, supplementing and guiding the implementation of the laws;*
- *Decree No. 155/2020/NĐ-CP dated December 31st, 2020 of the Government detailing the implementation of certain provisions of Law on Securities*
- *The current charter of Saigon - Hanoi Securities Joint Stock Company,*

The implementation plan for offsetting service activities, stock exchange payment, and financial product offerings was approved at the shareholders' meetings on April 27th, 2022, and March 28th, 2023.

The offsetting service and stock exchange transaction payment activities have not been implemented yet due to the regulatory authority not organizing their execution. Once the competent authority organizes the implementation of these activities, SHS will register to carry out the transactions in accordance with legal regulations.

The financial product offering activity has not been implemented due to unfavorable market conditions in 2023.

However, to establish a legal basis for implementing this activity in stable market conditions with clear opportunities, the regulatory authority will carry out on the stock exchange. The Board of Directors will continue to present to the General Meeting of Shareholders for approval of the plan.

However, to get a stronger legal basis for implementing these activities when the stock market becomes stable, with clear opportunities and the related agency implemented the stock exchange for this kind of business, SHS Board of Directors propose AGM for approval as follows:

1. Allow SHS to supplement the following business activities:
 - i. Provide the services of clearing and stock transaction payment;
 - ii. Offer financial products.

2. Delegate the task of registering with relevant regulatory agencies to the Board of Directors, with the following details:
 - iii. Application for a Certificate of Eligibility to provide the services of clearing, stock transaction payment;
 - iv. Application for a Certificate of Eligibility to offer financial products;
 - v. Other required documents as requested by the State Securities Commission, Stock Exchange, and related regulatory agencies.

The Board of Directors may delegate authority to the CEO to decide on tasks within their scope of authority.

3. Authorize the Board of Directors to supplement regulations related to the services of clearing, stock transaction payment, and financial product offerings in the company's Charter (if necessary) to ensure compliance with legal regulations and regulatory requirements.
4. The Board of Directors is responsible for reporting on the implementation of delegated tasks to the shareholders at the nearest Annual General Meeting.

Respectfully submitted to the General Meeting of Shareholders for approval.

Sincerely!

**O.B.H. THE BOARD OF DIRECTORS
CHAIRMAN**

Recipients:

- As above;
- Information disclosure, Stock Exchanges, State Securities Commission;
- Archived by: Document department, BOD's Office.

(signed)

VINH, DO QUANG (Mr.)