

SAI GON – HA NOI
SECURITIES JSC
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No.: 01-2024/NQ-ĐHĐCĐ

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom - Happiness
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Vietnam, Hanoi, May 15th, 2024

MEETING RESOLUTION

2024 ANNUAL GENERAL MEETING OF SHAREHOLDERS

(The 17th Annual meeting)

Based on:

- Enterprise Law No. 59/2020/QH14 passed by the National Assembly of the Socialist Republic of Vietnam and guiding, amending, supplementing, and enforcing documents;
- Law No. 03/2022/QH15 passed by the National Assembly of the Socialist Republic of Vietnam on January 11, 2022, amending and supplementing some articles of the Enterprise Law;
- Securities Law No. 54/2019/QH14 passed by the National Assembly of the Socialist Republic of Vietnam and guiding, amending, supplementing, and enforcing documents;
- Decree No. 155-2020/NĐ-CP dated December 31, 2020 of the Government detailing the implementation of some articles of the Securities Law;
- Charter of Saigon - Hanoi Securities Joint Stock Company;
- Minutes of the 2024 Annual General Meeting of Shareholders of Saigon - Hanoi Securities Joint Stock Company (the 17th Annual General Meeting of Shareholders) no. 01-2024/NQ-ĐHĐCĐ dated May 15th, 2024,

agrees to issue this Resolution approving the following contents:

Article 1: Approval of the Report of the Board of Directors of Saigon - Hanoi Securities JSC at the 2024 AGM including the operating results of SHS Board of Directors and each member of the Board of Directors in 2023 and the operational direction in 2024, other contents prescribed by the Enterprise Law, Securities Law, and Decree 155-2020/ ND-CP.

Article 2: Approve the Report of the Board of Supervisors of Saigon - Hanoi Securities JSC at the 2024 AGM, including: (i) the report of the Board of Supervisors on the company's business results, the review of the 2023 Financial Report which has been audited, and the results of the activities of the Board of Directors and the CEO; (ii) the self-assessment report on the results of the Board of Supervisors and its members; (iii) the action plan and operating plan of the Board of Supervisors in 2024, other contents prescribed the Enterprise Law, Securities Law, and Decree 155-2020/ND-CP.

Article 3: Approved the independently audited Financial Report and the Business Performance Report for the year 2023 of Saigon - Hanoi Securities JSC.

Article 4: Approved the Business Plan of Saigon - Hanoi Securities JSC for the year 2024 according to the contents of the Proposal No. 01-2024/TTr-DHDCD).

Article 5: Approval of the Plan for selecting the Independent Audit Firm for the financial year 2024 of Saigon - Hanoi Securities JSC (according to the contents of Proposal No. 10-2024/TTr-DHDCD).

Article 6: Approved the change of the organizational management structure and operations model of the Company in accordance with point b, Clause 1, Article 137 of the Enterprise Law, and simultaneously dismisses all current members of the Company's Supervisory Board (according to the content of Proposal No. 12-2024/TTr-DHDCD).

Accordingly, the Company will organize its operations and management according to the following model:

- General Meeting of Shareholders;
- Board of Directors (with an Audit Committee under the Board of Directors);
- Chief Executive Officer.

Article 7: Approval of adjusting, supplementing, revising, and implementing the Charter and Regulations under the authority of the General Shareholders' Meeting of Saigon - Hanoi Securities JSC (according to the contents of the Proposal No. 13-2024/TTr-DHDCD).

Article 8: Approved the Profit Distribution Plan for 2023 and the appropriating of funds for Saigon - Hanoi Securities JSC (according to the contents of the Proposal No. 02-2024/TTr-DHDCD).

Article 9: Approved the 2024 Charter Capital Increase Plan of Saigon - Hanoi Securities JSC (as outlined in Proposal No. 14-2024/TTr-DHDCD), including:

- 9.1. Plan to issue shares to pay dividends for the year 2023 and Delegate/Authorize the Board of Directors to implement;
- 9.2. Plan to issue shares to increase share capital from shareholder equity and Delegate/Authorize the Board of Directors to implement;
- 9.3. Plan to offer additional shares to the public to existing shareholders and Delegate/Authorize the Board of Directors to implement;
- 9.4. Plan to issue shares under the Employee Stock Ownership Plan (ESOP 2024) and Delegate/Authorize the Board of Directors to implement.

Article 10: Approval of the 2023 Report and the 2024 Plan of Saigon - Hanoi Securities JSC on Budget, Total Remuneration, Bonuses, Allowances, and Other Benefits for the Board of Directors and the Supervisory Board/Audit Committee (according to the contents of the Proposal No. 04-2024/TTr-DHDCD).

Article 11: Approval the Proposal to change the headquarters location of Saigon - Hanoi Securities JSC (according to the contents of the Proposal No. 06-2024/TTr-DHDCD).

Article 12: Approved the Plan for implementing the services of clearing and payment for securities transactions and financial products offering of Saigon - Hanoi Securities JSC (*according to the contents of the Proposal No. 07-2024/ TTr-DHDCD*).

Article 13: Approved the Plan of listing SHS Bonds issued to the public in 2024 (*according to the contents of the Proposal No. 08-2024/ TTr-DHDCD*).

Article 14: Approval of the Principle of signing, executing contracts, agreements, and transactions with related parties Saigon - Hanoi Securities JSC (*according to the contents of Proposal No. 09-2023/TTr-DHDCD*).

Article 15: This 2024 AGM Resolution shall be effective from May 15th, 2024.

The Minutes, Reports, and Proposals at the 2024 AGM of Saigon - Hanoi Securities JSC are an integral part of this Resolution.

The Board of Directors, Board of Supervisors, CEO, all Shareholders, Departments, and relevant individuals of Saigon - Hanoi Securities Joint Stock Company are responsible for implementing this resolution.

Recipient:

1. As Article 15 refer;
2. HSX, HNX, VNX, Stock Exchanges;
3. Archive in the BOD's office, Archives and Records Office.

On behalf of SHS Shareholders' General Meeting

On behalf of Board of Directors Chairman

(Signed)

VINH, DO QUANG (Mr.)