SAIGON – HANOI SECURITIES JOINT STOCK COMPANY	**SOCIALIST REPUBLIC OF VIETNAM**
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No: 484 -2025/CV-SHS	*Hanoi, April 04, 2025*

NOTICE

(Re: Nomination, candidacy, and election of an additional member of the Board of Directors for the term 2022 – 2027)

To: SHAREHOLDERS OF SAIGON – HANOI SECURITIES JSC

Pursuant to:

- *The Law on Enterprises No. 59/2020/QH14 dated June 17, 2020, and its amendments, supplements, and implementing guidelines;*
- *The Law on Securities No. 54/2019/QH14 dated November 26, 2019, and its amendments, supplements, and implementing guidelines;*
- *The current Charter of Saigon – Hanoi Securities Joint Stock Company ("Company"/"SHS");*
- *The current Internal Regulations on Corporate Governance of the Company;*
- *The current Regulations on the Organization and Operation of SHS Board of Directors (BOD);*
- *The resignation letter of Mr. Luu Danh Duc as SHS BOD's member.*
- *The resignation letter of Mr. Vu Duc Tien as SHS BOD's member.*

To ensure the sufficient number of BOD's members as required by law and the SHS Charter, while enhancing the effectiveness of oversight and governance of the Company, SHS BOD hereby notifies the esteemed Shareholders regarding the nomination, candidacy, and election of an additional BOD's member for the term 2022 – 2027, as follows:

1. Number of additional BOD's Members to be elected: 02 members *(This will only take effect if the General Meeting of Shareholders ("GMS") approval of the dismissal of Mr. Luu Danh Duc and Mr. Vu Duc Tien from their positions as BOD's Members).*
2. Term of the additionally elected BOD's Member: The remaining duration of the current BOD term 2022 – 2027.

To facilitate the timely disclosure of information regarding BOD candidates, the SHS Board of Directors respectfully requests the esteemed Shareholders to participate in nominating and proposing candidates for the additional election to the Board of Directors. Candidates must meet all required standards and conditions (as specified in the attached document). Nomination and candidacy dossiers should be submitted to the Company no later than April 10, 2025 at the following address:

BOD's Office: Saigon – Hanoi Securities Joint Stock Company

Unimex Hanoi Building, No.41 Ngo Quyen Street, Hang Bai Ward, Hoan Kiem District, Hanoi, Vietnam
Tel: (024) 38181888 (Ext. 312); *Email: investor@shs.com.vn;*
Mobile/Zalo: (84) 9363.88887 *Website: http://www.shs.com.vn*

The Company will compile a list of individuals who meet the eligibility criteria for nomination and candidacy in accordance with applicable laws and SHS internal regulations. This list will be included in the official ballot for the election at the 2025 Annual General Meeting of Shareholders of the Company.

Sincerely announced./.

FOR AND ON BEHALF OF THE BOARD OF DIRECTORS
CHAIRMAN

S.G.P: 66 - C.T.C.P
CÔNG TY CỔ PHẦN CHỨNG KHOÁN SÀI GÒN HÀ NỘI
Q. HOÀN KIẾM - TP. HÀ NỘI

DO QUANG VINH

Recipients:
- *All Shareholders;*
- *BOD's Members;*
- *Disclosure of information*
- *For filing: Archives, BOD's Office.*

SAIGON – HANOI SECURITIES J.S.C

CONDITIONS FOR NOMINATION AND CANDIDACY

FOR MEMBER OF THE BOARD OF DIRECTORS

TERM 2022 – 2027

(Issued with SHS Board of Directors' Notice No. -2025/CV-SHS dated April 4, 2025
Re: the nomination, candidacy and addition election of
SHS Board of Directors' members for the term 2022-2027)

Pursuant to:

- *The Law on Enterprises No. 59/2020/QH14 on 06/17/2020 along with the documents amending, supplementing and guiding the implementation of the law;*
- *The Law on Securities No. 54/2019/QH14 on 11/26/2019 and documents amending, supplementing and guiding the implementation of the law;*
- *The current Charter of Saigon – Hanoi Securities Joint Stock Company (SHS);*
- *The current SHS' Internal regulations on Corporate governance;*
- *The current regulations on the Operation of SHS Board of Directors (BOD),*

The principles for nomination and candidacy of personnel for election as BOD's members for the 2022 – 2027 term at the 2025 Annual General Meeting of Shareholders shall be implemented as follows:

1. Number of additional BOD's Members to be elected: 02 members.
2. Term of the additionally elected BOD's Members: The remaining duration of the BOD's term 2022 – 2027.
3. **Standards for individuals nominated or applying as candidates for the BOD**

SHS BOD's members must satisfy the standards and conditions stipulated under the currently effective regulations of the Law on Enterprises, the Law on Securities, other regulations of SHS Charter, internal regulations and policies of the Company, and relevant laws.

3.1. Individuals not eligible to serve as SHS BOD's members

a) Minors, individuals with limited or no civil act capacity, or individuals with difficulties in cognition or behavioral control;

b) Individuals currently subject to criminal prosecution, detention, imprisonment, administrative measures at mandatory drug rehabilitation or education facilities, or prohibited by a court from holding certain positions, practicing specific professions, or performing certain tasks; other cases as prescribed by the Law on Bankruptcy and the Law on Anti-Corruption;

c) Civil servants, public employees, or officials as defined under the Law on Cadres and Civil Servants and the Law on Public Employees;

d) Officers, non-commissioned officers, professional soldiers, defense workers, or employees in agencies or units under the Vietnam People's Army; officers, professional non-commissioned officers, or police workers in agencies or units under the Vietnam People's Police, except for those authorized to act as representatives managing the State's capital contribution in enterprises or managing State-owned enterprises;

e) Leaders or professional managers in State-owned enterprises as defined in Clause a, Paragraph 1, Article 88 of the Law on Enterprises (enterprises wholly owned by the State), except for those authorized to act as representatives managing the State's capital contribution in other enterprises;

f) Other cases as prescribed by law (if any).

3.2. Additional standards and conditions for BOD's Members

a) Possessing full civil act capacity and not being subject to prohibitions on enterprise management as stipulated by the Law on Enterprises;

b) Having professional qualifications and experience in business administration or in the fields, industries, or business lines of the Company, and not necessarily being a shareholder of the Company;

c) Members of the Company's Board of Directors must not concurrently serve as members of the Board of Directors of more than five other companies;

d) Members of the Company's Board of Directors must not concurrently serve as members of the Board of Directors, members of the Members' Council, or Directors (General Directors/Chief Executive Officer) of other securities companies;

e) Having good health, moral character, honesty, and integrity;

f) Other standards and conditions as prescribed by law (if any).

3.3. Standards and conditions for independent BOD's Members

a) Meeting the standards and conditions for BOD's members as stated above;

b) Not currently working for the Company, its parent company, or subsidiaries; not having worked for the Company, its parent company, or subsidiaries for at least the preceding three consecutive years;

c) Not receiving salaries or remuneration from the Company, except for allowances entitled to BOD's members as prescribed;

d) Not having a spouse, biological or adoptive parent, biological or adoptive child, or biological sibling who is a major shareholder of the Company or a manager of the Company or its subsidiaries;

e) Not directly or indirectly owning at least 01% of the total voting shares of the Company;

f) Not having served as a member of the Board of Directors or the Supervisory Board of the Company for at least the preceding five consecutive years, except in cases of consecutive appointments for two terms;

g) Other standards and conditions as prescribed by law (if any).

3.4. Rights to nominate and apply as candidates

The number of candidates that shareholders or groups of shareholders may nominate or propose is specifically regulated under Article 35 of SHS Charter. In cases where shareholders or groups of shareholders do not nominate or propose a sufficient number

of candidates, or if candidates do not meet the legal standards and conditions, the Board of Directors shall nominate additional candidates.

4. Nomination and Candidacy Dossiers

Shareholders or groups of shareholders wishing to nominate or propose candidates for SHS Board of Directors must submit the following documents to SHS:

(1) Notification of nomination or candidacy for positions on the Board of Directors term 2022 – 2027 (03 copies), using the template available on the website www.shs.com.vn;

(2) Personal background of the candidate (03 copies), using the template available on the website www.shs.com.vn (in accordance with the Information Disclosure Form in Appendix III of Circular 96/2020/TT-BTC and information disclosure principles under Article 4 of Circular 96/2020/TT-BTC);

(3) Personal Information Form (in accordance with Form No. 67 of Decree 155/2020/NĐ-CP and requirements of the competent certifying authority);

(4) Recent portrait photo (4x6) taken within the last six months (03 copies);

(5) Copy of Citizen Identification Card/Identity Card (03 copies);

(6) Judicial Record Certificate;

(7) A written commitment confirming the truthfulness and accuracy of the disclosed personal information and a pledge to perform duties honestly and prudently for the benefit of the Company (using the template available on the website www.shs.com.vn);

(8) Certified copies (authenticated by a competent State authority within the last six months) of diplomas or certificates proving the candidate's professional qualifications as required (03 copies);

(9) Report on disclosure of related interests (using the template available on the website www.shs.com.vn), as stipulated under Article 164 of the Law on Enterprises 2020 (03 copies);

(10) Other documents proving that the candidate meets the required conditions and standards under legal regulations (if any) (03 copies);

(11) For candidates without Vietnamese nationality, in addition to the above documents, the dossier must include a Judicial Record Certificate (or an equivalent document) issued by the competent authority of the country where the foreign individual resided prior to arriving in Vietnam. This certificate must be consular legalized. If the foreign individual has resided in Vietnam for six months or more, a Judicial Record Certificate issued by the Department of Justice of Vietnam in the locality where the individual resides is required./.

SAIGON – HANOI SECURITIES JOINT STOCK COMPANY

TEMPLATE

(For individuals/organizations nominating or recommending candidates for the Board of Directors of SHS for the term 2022 – 2027)

SOCIALIST REPUBLIC OF VIETNAM

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NOTICE OF NOMINATION AND CANDIDACY

FOR CANDIDATES TO PARTICIPATE IN THE BOARD OF DIRECTORS FOR THE TERM 2022 – 2027 SAIGON – HANOI SECURITIES JOINT STOCK COMPANY

To **: BOARD OF DIRECTORS**

SAIGON – HANOI SECURITIES JOINT STOCK COMPANY

Pursuant to:

- *The Law on Enterprises No. 59/2020/QH14 dated June 17, 2020, and its amendments, supplements, and implementing guidelines;*
- *The Law on Securities No. 54/2019/QH14 dated November 26, 2019, and its amendments, supplements, and implementing guidelines;*
- *The current Charter of Saigon – Hanoi Securities Joint Stock Company (SHS);*

Based on the notification from the SHS Board of Directors regarding the nomination, candidacy, and election of additional members to the Board of Directors for the 2022–2027 term.

I/We, as a shareholder/group of shareholders owning/voluntarily forming a group of shareholders owning..... common shares of SHS, including:

No.	Shareholder's Full Name	Citizen ID/Business Registration No.	Number of SHS Shares Owned	Percentage of Total SHS Shares	Signature and Seal (Applicable if the shareholder is an organization/, legal entity/Enterprises)
1	Company ...				
2	Bank....				
3	Mr./Ms. ...				
4					
....					
	TOTAL				

Note: (Some information about SHS)

Charter Capital: VND 8,131,567,480,000
Number of Listed Shares: 813,156,748 shares (100%)
Number of Outstanding Shares: 813,156,748 shares (100%)

Having reviewed the conditions and standards related to the nomination of additional members to the Board of Directors of Saigon – Hanoi Securities Joint Stock Company for the term 2022 – 2027, we unanimously nominate:

TT	Candidate's Full Name	Citizen ID No., Date of Issue, Place of Issue	Permanent Address	Conditions and Standards as per Regulations
1				
2				
3				

1. The candidates listed above meet the conditions and standards prescribed by law.
2. I/We commit to maintaining the ownership ratio of SHS shares as required by law during the stipulated period to ensure the legal validity of nominating the above candidates for election to the SHS Board of Directors for the term ending in 2027 by the General Meeting of Shareholders.
3. Attached to this notice, I/we submit the list and relevant dossiers of the candidates, prepared in full compliance with legal regulations and SHS requirements.
4. For further information, please contact Mr./Ms.:....., Phone: .....; Email: ....., who serves as the representative of the shareholder group.

Sincerely,

....., ..., 2025

SHAREHOLDER/REPRESENTATIVE

OF THE SHAREHOLDER GROUP

(Signature, full name, and seal)