SAIGON – HANOI SECURITIES JOINT STOCK COMPANY
——o0o——
No: 01-2025/NQ-ĐHĐCĐ

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness
——o0o——

Hanoi, April 10th, 2025

DRAFT

RESOLUTION
ANNUAL GENERAL MEETING OF SHAREHOLDERS 2025
(18th Annual General Meeting)

GENERAL MEETING OF SHAREHOLDERS
SAIGON – HANOI SECURITIES JOINT STOCK COMPANY

Pursuant to:

- *The Law on Enterprises No. 59/2020/QH14, Law No. 03/2022/QH15 dated January 11th, 2022, and its amendments, supplements, and guiding documents;*
- *The Law on Securities No. 54/2019/QH14 dated November 26th, 2019, and its amendments, supplements, and guiding documents;*
- *The current Charter of Saigon - Hanoi Securities Joint Stock Company ("The Company"/"SHS");*
- *The Minutes of SHS 2025 Annual General Meeting of Shareholders ("GMS") (18th Annual Meeting) No. 01-2025/BBH-ĐHĐCĐ, dated April 10th, 2025.*

RESOLVES:

Article 1: Approving the report on the activities of the Board of Directors (BOD) of the Company for 2024 and the direction for 2025, *including the performance results of the BOD and each individual member in 2024, the operational orientation for 2025, and other matters as stipulated in the Enterprise Law, Securities Law, and Decree No. 155-2020/NĐ-CP.*

Article 2: Approving the report on the activities of the independent BOD member in the Company's Audit Committee for 2024, *and other matters as stipulated in the Enterprise Law, Securities Law, and Decree No. 155-2020/NĐ-CP.*

Article 3: Approving the audited financial statements for 2024 *(as per the contents of Proposal No. 01-2025/TTr-ĐHĐCĐ).*

Article 4: Approving the provision of funds and distribution of profits for 2024 *(as per the contents of Proposal No. 02-2025/TTr-ĐHĐCĐ).*

Article 5: Approval of cancelling the implementation of the plan to offer additional

shares to the public for existing shareholders (previously approved by the GMS under Resolution No. 01-2024/NQ-ĐHĐCĐ dated May 15th, 2024), as outlined in Proposal No. 14-2025/TTr-ĐHĐCĐ.

Article 6: Approving the business operation plan for 2025 *(as per the contents of Proposal No. 03-2025/TTr-ĐHĐCĐ).*

Article 7: Approving the plan to increase charter capital through the issuance of shares under the Employee Stock Option Program - ESOP *(as per the contents of Proposal No. 04-2025/TTr-ĐHĐCĐ).*

Article 8: Approving the selection of an independent audit firm for the fiscal year 2025 for Saigon – Hanoi Securities JST *(as per the contents of Proposal No. 05-2025/TTr-ĐHĐCĐ).*

Article 9: Approving the principle of signing, executing contracts, agreements, and transactions with related parties *(as per the contents of Proposal No. 06-2025/TTr-ĐHĐCĐ).*

Article 10: Approving the budget, total remuneration, bonuses, allowances, and other benefits for the BOD in the fiscal year 2025 *(as per the contents of Proposal No. 07-2025/TTr-ĐHĐCĐ).*

Article 11: Approving the amendment of the Charter and internal regulations under the authority of the General Shareholders' Meeting *(as per the contents of Proposal No. 08-2025/TTr-ĐHĐCĐ)*, including:

Approval of the full text of the following documents to replace the respective existing versions:

(1) The Company's Charter and a summary table of key amendments, supplements, and proposed revisions to the Charter;

(2) The Regulations on the Organization and Operations of the Company's BOD;

(3) The Internal Corporate Governance Regulations;

(4) The Regulations on organizing online GMS and electronic voting.

Article 12: Approving the implementation of the offering/issuance and listing of guaranteed warrants *(as per the contents of Proposal No. 09-2025/TTr-ĐHĐCĐ).*

Article 13: Approving the plan to provide securities settlement and clearing services through a central clearing partner and offer financial products *(as per the contents of Proposal No. 10-2025/TTr-ĐHĐCĐ).*

Article 14: Approving the change of the Company's headquarters location *(as per the contents of Proposal No. 11-2025/TTr-ĐHĐCĐ).*

Article 15: Approving the issuance and listing of SHS bonds issued to the public (*as outlined in Proposal No. 15-2025/TTr-ĐHĐCĐ).*

Article 16: Approval of the dismisal of Mr. Luu Danh Duc from the position of BOD's member and the election of an additional BOD's member for the 2022–2027 term *(as per the contents of Proposal No. 12-2025/TTr-ĐHĐCĐ).*

Article 17: Approval of the dismissal of Mr. Vu Duc Tien from the position of BOD's member and the election of an additional BOD's member for the 2022–2027 term (*as outlined in Proposal No. 12-2025/TTr-ĐHĐCĐ*).

Article 18: Approval of the election of two (02) additional members to the Board of Directors for the 2022–2027 term, effective from April 10th, 2025, for the following individuals.

Full name	Position
(Mr/Mrs)	Member of SHS Board of Directors
(Mr/Mrs)	Member of SHS Board of Directors

Article 19: This Resolution shall take effect from April 10th , 2025.

The Minutes of the Meeting, Reports, and Proposals presented at the 2025 Annual General Meeting of Shareholders of Saigon – Hanoi Securities Joint Stock Company constitute an integral part of this Resolution.

The Board of Directors, the Board of Management, all Shareholders, and relevant departments and individuals of Saigon – Hanoi Securities Joint Stock Company shall be responsible for implementing this Resolution.

FOR AND ON BEHALF OF SHS GMS
FOR AND ON BEHALF OF SHS BOD
CHAIRMAN

Recipients:

- As above;
- For disclosure: Stock Exchanges, State Securities Commission, VDSC;
- For filing: Archives, BOD's Office.

DO QUANG VINH