

PROPOSAL

**(On approving The policy of signing, executing contracts, agreements, and transactions
with related parties)**

To: GENERAL MEETING OF SHAREHOLDERS

Base:

- *Law on Enterprises No. 59/2020/QH14 on 06/17/2020; Law No. 03/2022/QH15 on 01/11/2022;*
- *Law on Securities No. 54/2019/QH14 on 11/26/2019; and documents amending, supplementing and guiding the implementation of the laws;*
- *The current charter of Saigon - Hanoi Securities Joint Stock Company,*

In order to enforce legal regulations and follow the guidance of state management agencies on ensuring transparency of information and enhancing corporate governance activities, the Board of Directors respectfully proposes to the General Meeting of Shareholders to consider the following issues:

1. Approving the company's policy to authorize the signing and execution of contracts, agreements, transactions with related parties based on compliance with legal regulations, the Charter, and internal regulations of the Company, as follows:
 - 1.1. The entities with whom the company enters into transactions, agreements, and contracts are individuals or organizations defined as "related parties," "related persons" according to the provisions of the Accounting Law, Accounting Standards, Vietnamese Accounting Regime, Securities Law, Enterprise Law, and relevant guiding documents effective at the time of signing and executing contracts, agreements, transactions.
 - 1.2. The scope of implementation includes transactions, agreements, and contracts falling within the authority passed, approved, or decided by the General Meeting of Shareholders in accordance with the provisions of the Law, the Charter, and other internal regulations of the Company (such as purchases, sales, borrowing, lending, collateral, cooperation, investment...).
2. SHS General Meeting of Shareholders approves on authorizing the SHS Board of Directors based on the interests of the Company and shareholders, to act on behalf of the General Meeting of Shareholders to review and decide on contracts, agreements, and related transactions, based on compliance with the Charter, internal regulations of the Company, and the Law.

Respectfully submitted to the General Meeting of Shareholders for approval.

Sincerely!

**O.B.H. THE BOARD OF DIRECTORS
CHAIRMAN**

Recipients:

- As above;
- Information disclosure, Stock Exchanges,
State Securities Commission;
- Archived by: Document department, BOD's Office.

(signed)

VINH, DO QUANG (Mr.)

