

PROPOSAL

(On approving an independent auditing firm for SHS FY 2024 financial reports)

To: GENERAL MEETING OF SHAREHOLDERS

Base:

- Law on Enterprises No. 59/2020/QH14 on 06/17/2020; Law No. 03/2022/QH15 on 01/11/2022;
- Law on Securities No. 54/2019/QH14 on 11/26/2019; and documents amending, supplementing and guiding the implementation of the laws;
- The current charter of Saigon - Hanoi Securities Joint Stock Company,

On exercising the functions and duties of SHS Board of Supervisors as prescribed by law and the Company's Charter, SHS Board of Supervisors would like to propose General Meeting of Shareholders and the Board of Directors the selection of an independent audit firm to conduct the audit and review of the Financial Statements for the fiscal year 2024 and other reports as required by law or regulatory authorities for our Company's operation in accordance with the law and SHS Charter, as follows:

I. Selection criteria:

1. **Criterion 1:** The independent auditing firm selected by SHS must be included in the list approved and authorized by the State Securities Commission or relevant state management agencies to conduct the audit and review of the Financial Statements for the fiscal year 2024 and other reports as required by law or regulatory authorities for our Company's operation in accordance with the law and SHS Charter.
2. **Criterion 2:** The auditing firm must be reputable, with reasonable audit fees that are appropriate for the scope and timeline of the audit as required by SHS.

B. List of selection: Including the following companies:

1. AASC Auditing Company Limited
2. A&C Auditing and Consulting Company Limited
3. Vietnam Auditing and Valuation Company Limited (AVA)

C. Proposed approval by the General Meeting of Shareholders:

1. Approve the list of independent auditing firms mentioned above.
2. Assign the Board of Directors to select one of the three aforementioned companies to conduct the audit and review of the Financial Statements for the fiscal year 2024 and

other reports as required by law or regulatory authorities for our Company's operation in accordance with the law and SHS Charter.

3. Plan of action in case the aforementioned auditing firms cannot carry out the auditing work as required by the law or agreed upon by the state management agency or SHS:

To ensure the continuity of the financial statement audit of SHS, the General Meeting of Shareholders approves the following contents:

3.1. Authorize the SHS Board of Directors to select another independent auditing firm based on the proposal of the SHS Board of Supervisors to conduct the audit and review of the Financial Statements for the fiscal year 2024 and other reports as required by law or regulatory authorities for our Company's operation in accordance with the law and SHS Charter.

3.2. The selected auditing firm must meet the criteria mentioned in Section I of this Proposal.

3.3. The SHS Board of Directors is responsible for reporting to the General Meeting of Shareholders at the nearest meeting regarding the implementation of the authorized contents (if any).

Respectfully submitted to the General Meeting of Shareholders for approval.

Sincerely!

**O.B.H. THE BOARD OF SUPERVISORS
HEAD OF THE BOARD**

(signed)

Recipients:

- As above;
- Information disclosure, Stock Exchanges,
State Securities Commission;
- Archived by: Document department, BOD's Office.

HONG, PHAM THI BICH (Mrs.)